

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	09/944,788
		Filing Date	August 31, 2001
		First Named Inventor	Alfonso Valdes
		Art Unit	3621
		Examiner Name	Sherr, Cristina O.
Sheet 1 of 2	Attorney Docket Number	10454-022001 (SRI/4190-4)	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C52	SRI INTERNATIONAL, INC.'S RESPONSES TO DEFENDANTS ISS-GA'S SECOND SET OF INTERROGATORIES (NOS. 19-20) AND SRI'S THIRD SUPPLEMENTAL RESPONSE TO ISS-GA'S INTERROGATORY NO. 17, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Georgia Corporation and Symantec Corporation a Delaware Corporation, pp. 1-54, Certificate of Service dated December 15, 2005	
	C53	SRI INTERNATIONAL, INC.'S RESPONSE TO SYMANTEC'S INVALIDITY AND INEQUITABLE CONDUCT CONTENTIONS, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Georgia Corporation and Symantec Corporation a Delaware Corporation, pp.1-50, Certificate of Service dated December 15, 2005	
	C54	SRI INTERNATIONAL, INC.'S SUPPLEMENTAL RESPONSE TO INTERROGATORIES NO 12. AND NO. 15, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc. a Delaware Corporation, Internet Security Systems, Inc. a Georgia Corporation and Symantec Corporation a Delaware Corporation, pp. 1-6, Certificate of Service date December 15, 2005	
	C55	SRI INTERNATIONAL, INC.'S "AMENDED" RESPONSE TO SYMANTEC'S INVALIDITY AND INEQUITABLE CONDUCT CONTENTIONS, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Georgia Corporation and Symantec Corporation a Delaware Corporation, pp.1-51, Certificate of Service date December 16, 2005	
	C56	S.S. CHEN et al, GrIDS - A Graph Based Intrusion Detection System for Large Networks, 19th National Information Security Systems Conference, 1996	
	C57	L.T. HEBERLEIN, et al., Internetwork Security Monitor, Proc. 15th National Computer Security Conference, October 13-16 1992, pp. 262-271	
	C58	B. MUKHERJEE et al., Network Intrusion Detection, IEEE Network 8(3), pp. 26-41, May/June 1994	
	C59	B. GLEICHAUF AND D. TEAL, NetRanger High-level Overview Version 1.1, WheelGroup Corp., November 1996	
Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

Complete if Known

Application Number	09/944,788
Filing Date	August 31, 2001
First Named Inventor	Alfonso Valdes
Art Unit	3621
Examiner Name	Sherr, Cristina O.
Attorney Docket Number	10454-022001 (SRI/4190-4)

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C60	S.R. SNAPP, et al., A System for Distributed Intrusion Detection, COMPCON Spring'91, Digest of Papers, San Francisco, CA, 25 February – 1 March 1991, pp. 170-176	
	C61	JAMES BRENTANO et al., An Architecture for a Distributed Intrusion Detection System, Proc. 14th Department of Energy Computer Security Group Conference, May 7-9 1991, pp 17.25-17.45.	
	C62	L.T. HEBERLEIN et al., Towards Detecting Intrusions in a Networked Environment, Proc. 14th Department of Energy Computer Security Group Conference, pp. 17.47-17.65, May 7-9 1991	
	C63	L.T. HEBERLEIN, Towards Detecting Intrusions in a Networked Environment, Technical Report CSE-91-23, Division of Computer Science, UC Davis, June 1991	
	C64	L.T. HEBERLEIN et al., A Method to Detect Intrusive Activity in a Networked Environment, Proc. 14th National Computer Security Conference, pp. 362-371, October 1991	
	C65	RANUM et al., Implementing a Generalized Tool for Network Monitoring, Proc. 11 th Systems Administration Conference (LISA'97), San Diego, CA, October 1997	
	C66	STEVEN SNAPP et al., Intrusion Detection Systems (IDS): A Survey of Existing Systems and a Proposed Distributed IDS Architecture, CSE-91-7, allegedly dated February 1991	
	C67	L.T. HEBERLEIN et al., Network Attacks and an Ethernet-based Network Security Monitor, Proc. 13th Department of Energy Computer Security Group Conference, pp. 14.1-14.13, May 8-10 1990	
	C68	RealSecure 1.1: User Guide and Reference Manual, 1997	
Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.